

**MINUTES OF A MEETING OF THE UNIVERSITY SENATE**  
**March 26, 2007**

1. Moderator Murphy officially called the regular meeting of the University Senate of March 26, 2007 to order at 4:01 PM in Room 7 of the Bishop Center.
2. Approval of the minutes

Moderator Murphy presented the minutes from the regular meeting of February 26, 2007 for review.

The minutes were approved without modification.

3. Report of the Provost

Provost Nichols commented on the academic plan and reported that there has been good discussion and feedback concerning the plan. Discussion groups of faculty are being formed to concentrate on the implementation of the plan. These discussions will take place in a series of colloquia.

Provost Nicholls discussed the status of the several Dean's searches that are ongoing. The search for the Law School is complete. Jeremy Paul has been appointed. The interviews for the Dean of the School of Business have been completed and an announcement will be forthcoming. The searches for Engineering, Nursing, and Social Work are in the interview phase. Provost Nicholls thanked the search committees for their work and commented on the high quality of the applicants we have been able to attract.

Provost Nicholls entertained questions. Senator Schaeffer stated the library staff has announced that it will soon begin deaccession of books due to a lack of space. He commented that UITS has storage space in the basement and the Roper Center has announced they will be moving to the third floor of the Babbage Library. Senator Schaeffer asked if there is not room for books in the library, how the departments can be accommodated? Provost Nicholls replied that he would make inquiries and provide answers to Senator Schaeffer in the near future.

4. Senator DeWolf presented the Report of the Senate Executive Committee.  
(See Attachment # 37)
5. Senator Anderson presented the Annual Report of the Vice Provost for Research and Graduate Education and Dean of the Graduate School.  
(See Attachment # 38)

Senator Anderson entertained questions from the Senate. Senator Zirakzadeh inquired about the structure of the reports delivered by Senator Anderson, indicating that perhaps more meaningful headings for data reporting might be provided. Senator Anderson responded that the divisions by which the report is organized are determined by the sub-committees of

the Research Advisory Committee. This is consistent with the report formats from previous years.

Senator Eby inquired about the travel funds available to faculty. Senator Anderson stated that he had polled his colleagues at other institutions and concluded that the support offered to University of Connecticut faculty compares favorably with our peers and that in fact our support is greater than that offered to faculty members at other comparable institutions.

Senator Anderson made further comments and explanations concerning indirect cost calculations and reporting. He mentioned that the Graduate Faculty Council received a detailed report on this topic.

Senator Anderson reported that the Academic Plan has been reviewed and analyzed by the Graduate School and the Graduate Faculty Council and recommendations were made to the Provost.

Senator Anderson discussed the NRC surveys of graduate programs, thanking faculty for their participation and asking for further cooperation as the process continues.

Senator Anderson commented on minority graduate student recruitment. He noted that new faculty who have been given that responsibility, combined with existing faculty and administration have been “spectacularly successful” in bringing minority students to the University to examine our programs.

Senator Faustman asked how start-up packages are formulated and specifically, what portion comes from central administration and what portion from other sources? Senator Anderson replied that it is very complicated and it has been changing. Start-up packages are now generally funded by the Provost’s office and the Deans, with help from the VPRGE’s indirect cost/overhead funds. Provost Nicholls added that in the past it has been coming almost entirely from bond funds and the Provost’s office. The difficulty has been that each of these seemingly separate funds actually consisted of bond money. In a recent year when bond funds were reduced this led to difficulty in putting together start-up packages, so new solutions are being sought to reduce reliance on bonded money.

Senator Frank inquired about the way expenditures are reported in the VPRGE’s report specifically, are expenditures reported by department or by center if a faculty member is a member of a research center. Senator Anderson replied that they are reported separately. Responding to a follow-up question concerning reportage when there are co-P.I.’s, Anderson responded that in these cases a major P.I. is identified and the funds are credited to that department.

6. Barry Feldman, Vice President and Chief Operating Officer presented a verbal report on the activities of the Building and Grounds Committee and the Capital Projects Planning Advisory Committee.

Senator Feldman reported on new signage on North Eagleville Road and the efforts to improve lighting there. There are plans to hire a landscape designer and traffic engineer this summer to look for further ways to improve safety along that thoroughfare and indeed

throughout the campus.

Senator Feldman stated planning continues for the new Torrey/Gant replacement building. Design should be in process by 2008, with an architect selected and beginning work, followed by approximately 24 months of construction, so the building should open in the summer of 2010. The project is on schedule. In addition, the stem cell research building is also in process and will probably open about 2010, and the landfill remediation continues.

Senator Feldman outlined how the governor's new budget will affect UConn 21<sup>st</sup> Century. The governor's proposal extends the program by one year, to 2016, but reduces the bonding cap each year to compensate. While there are some advantages to this plan, it may also cause some cash flow problems in the future. Solutions to these problems are in discussion.

7. Senator Bull presented the Annual Report of University Budget Committee.  
(See Attachment # 39)
8. Senator Jeffers presented the Report of the Courses and Curricula Committee.  
(See Attachment # 40)

#### I. Changing 200s level courses

The Committee recommends approval to change the following courses:

- A. PNB 264, PNB 265 Human Physiology & Anatomy Prerequisite change  
Current Catalog copy: Both semesters. Four credits each semester. Three class periods and one 3-hour laboratory. Prerequisite: CHEM 122 or 124 or 127Q. Recommended preparation: BIOL 107, PHYS 101 or 122. Open to sophomores or higher. Not open to students who have passed PNB 274-275. These courses must be taken in sequence to obtain credit, and may not be counted toward the Biological Sciences or Physiology and Neurobiology majors.  
Revised Catalog copy: Both semesters. Four credits each semester. Three class periods and one 3-hour laboratory. Prerequisite: BIOL 107, and one of CHEM 122 or 124 or 127Q. Open to sophomores or higher. Not open to students who have passed PNB 274-275. These courses must be taken in sequence to obtain credit, and may not be counted toward the Biological Sciences or Physiology and Neurobiology majors.
- B. PNB 274, PNB 275 Enhanced Human Physiology and Anatomy Prerequisite change  
Current Catalog copy: Both semesters. Four credits each semester. Three class periods and one 3-hour laboratory. Prerequisite: BIOL 107, CHEM 124 or 127Q. Recommended preparation: PHYS 121, 131, or 141. Not open to students who have passed PNB 264-265. These courses must be taken in sequence to obtain credit. Open to sophomores or higher.  
Revised Catalog copy: Both semesters. Four credits each semester. Three class periods and one 3-hour laboratory. Prerequisite: BIOL 107, and either CHEM 124 or 127Q. Not open to students who have passed PNB 264-265. These courses must be taken in sequence to obtain credit. Open to sophomores or higher.

**The motion carried.**

II. New General Education courses forwarded from GEOC: The Committee recommends approval of the following courses and topics

A. GEOC recommends approval of the following courses for inclusion in Content Area 1:

ARAB 121	Traditional Arabic Culture
ARAB 122	Modern Arabic Culture
CHIN 121	Traditional Chinese Culture
CHIN 122	Modern Chinese Culture
ILCS 1XY	Introducing Italy through Its Regions
MUSI 193	Introduction to Music History I
MUSI 194	Introduction to Music History II

**The motion carried.**

B. GEOC recommends approval of the following courses for inclusion in Content Area 4:

International Category

ARAB 121	Traditional Arabic Culture
ARAB 122	Modern Arabic Culture
CHIN 121	Traditional Chinese Culture
CHIN 122	Modern Chinese Culture
GERM 175	Human Rights and German Culture
HIST 107	East Asian History through Essential Hanzi

**The motion carried.**

C. For the information of the Senate: HIST 230/W - American Environmental History may be offered as either a W or a non-W.

9. Professor Robert Hoskin presented the Annual Report of the President's Athletic Advisory Committee.

(See Attachment # 41)

10. Senator Bansal presented the Nominating Committee slate of standing committee membership for 2007/2008 for the information of the Senate. The slate will be voted on at the April 30, 2007 meeting of the Senate.

(See Attachment # 42)

11. New Business – none.

12. There was a motion to adjourn.

The motion was approved by a standing vote of the Senate.

The meeting adjourned at 5:15 PM.

Respectfully submitted,  
Robert F. Miller  
Senate Secretary

The following members and alternates were absent from the March 26, 2007 meeting:

Anderson, Greg	Evanovich, M. Dolan	Myers, Kathryn
Aronson, Lorraine	Fox, Karla	Rummel, Jeffrey
Austin, Philip	Franklin, Brinley	Sanchez, Lisa
Becker, Loftus	Gianutsos, Gerald	Scruggs, Lyle
Bergman, Theodore	Guillard, Karl	Simmons, Robin
Boyer, Mark	Hart, Ian	Taylor, Ronald
Bramble, Pamela	Hightower, Lawrence	Thorpe, Judith
Broadbent, Erica	Jain, Faquir	Tilton, Robert
Caira, Janine	Kehrhahn, Marijke	Von Hammerstein,
Cantino, Marie	Kelly, Kristen	Katharina
Croteau, Maureen	Kendall, Debra	Wagner, David
Deibler, Cora Lynn	Letendre, Joan	Williams, Michelle
Engel, Gerald	Lowe, Charles	Woods, David
Etter, Katherine	Mannheim, Philip	

**ATTACHMENT #37****REPORT  
SENATE EXECUTIVE COMMITTEE**

March 26, 2007

The Senate Executive Committee has met three times since the February Senate meeting.

On March 16<sup>th</sup> the SEC meet met in a closed meeting with Provost Nichols. This was followed by a meeting with the Chairs of the Standing Committees to develop the agenda for this meeting and to receive updates on issues being discussed in the committees. Discussion included the need for additional student recreational space, planning for the upcoming PTR Forum, the work of Faculty Standards on the Conflict of Interest Policy, and the Arjona/Monteith replacement.

The second meeting was on March 19<sup>th</sup>, and it was with Vice Provost Anderson. The meeting was arranged to discuss areas that are of mutual interest to the University Senate and the Graduate Council.

On March 23<sup>rd</sup> the Executive Committee met in a closed meeting with President Austin. We then met with President Austin, Provost Nicholls, Vice President and Chief Operating Officer, Feldman and Vice President for Student Affairs Saddlemire. At this meeting, there were discussions about the new policy for Emeritus Faculty, spring weekend and alcohol issues, safety issues on North Eagleville Road, the proposed classroom building on the Storrs campus, and other campus development. We also were updated on the Conflict of Interest and Conflict of Commitment Policies. The former has been under review by Faculty Standards. The second deals with consulting, and it is essentially what we now have in place. These are being readied for the upcoming Board of Trustees meeting and are critical to efforts now underway to develop policies on ethics at the University that are consistent with faculty and University needs.

The Senate Executive Committee is pleased to announce Francoise Dussart, professor of Anthropology and Associate Director of the Humanities Institute, has agreed to serve on the board of Trustees Honors and Awards Committee for a five-year term. She joins Michael Darre and Kathryn Myers. The Senate Executive Committee is thankful to each of the committee members for their willingness to take on additional responsibility.

The Senate's spring constituency elections are now complete. Appointment letters have been sent to those elected. Very shortly, the results of the elections will be posted to the Senate's website. Please note: within the next week Senators will receive electronic ballots for the Senate Executive Committee, the Nominating Committee, and the Committee of Three elections. Only current Senators are eligible to vote in these three elections. Please look for the ballots and vote.

Please note that the upcoming PTR Forum has been moved to April 20. Details regarding the forum can be found on the Senate's website.



Office of the Vice Provost for  
Research and Graduate Education



2006 REPORT  
*of*  
SPONSORED PROJECT ACTIVITY

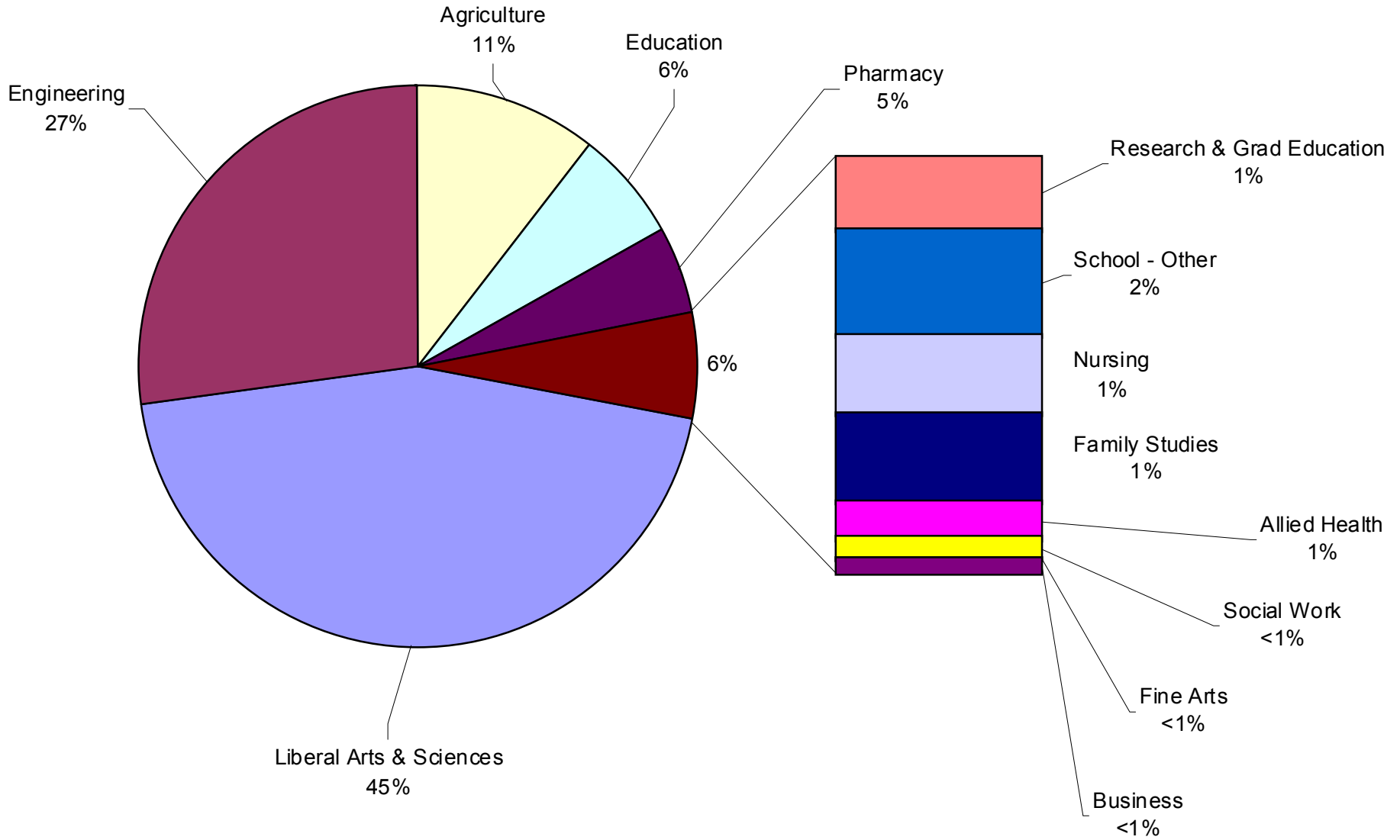
New Proposals FY2006 (continued)

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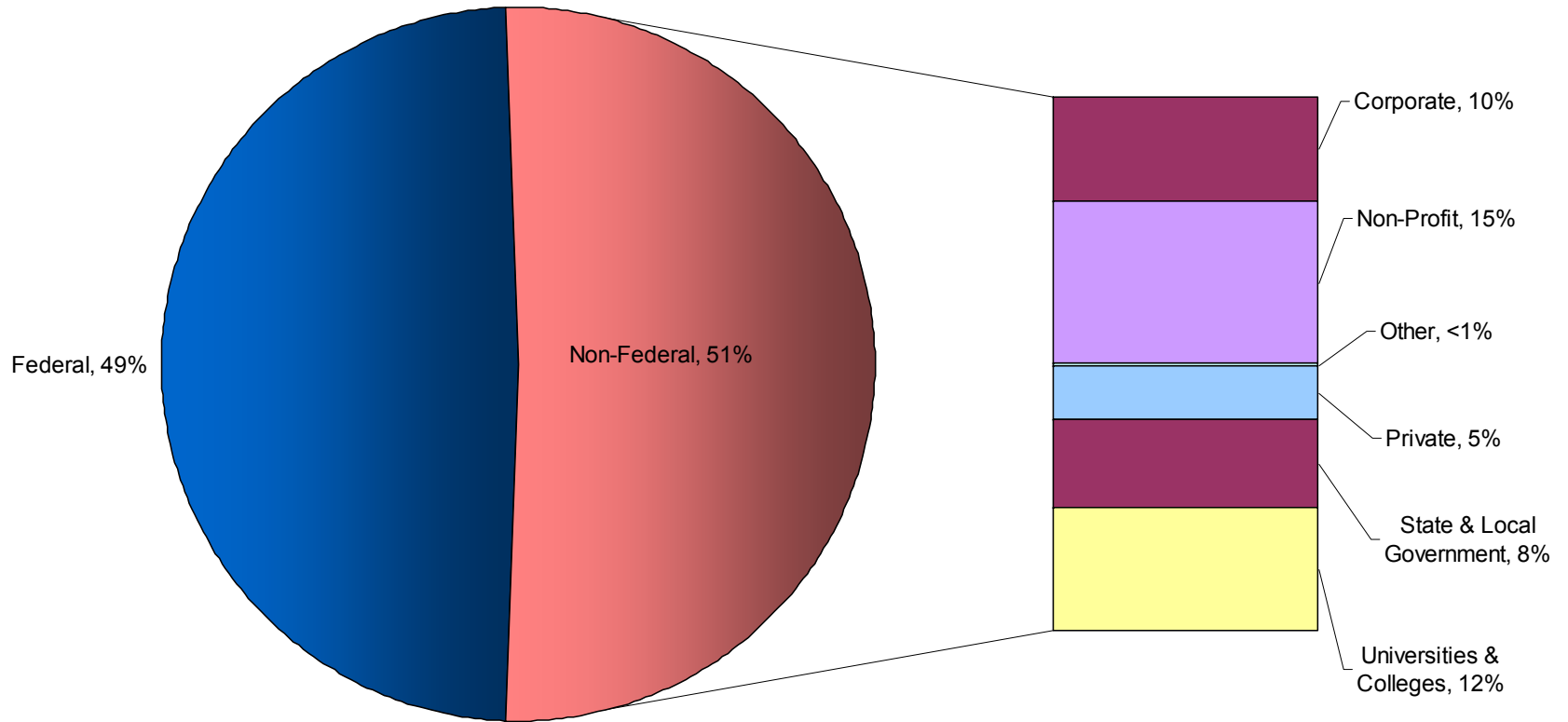
	Total Requested Budget	Number of Proposals	Number of individual PI's with proposals for new awards	Average amount requested per proposal
Dept of Allied Health Sciences	\$478,753	2	2	\$239,377
Dietetics Program	\$1,377,719	8	4	\$172,215
Physical Therapy				
<b>School of Allied Health</b>	<b>\$1,856,472</b>	<b>10</b>	<b>4</b>	<b>\$185,647</b>
Dept of Accounting				
Dept of Finance				
Dept of Management	\$636,825	2	2	\$318,413
Dept of Marketing				
Operations & Info Management				
<b>School of Business</b>	<b>\$636,825</b>	<b>2</b>	<b>2</b>	<b>\$318,413</b>
Connecticut Global Fuel Cell Center	\$3,779,143	13	2	\$290,703
Connecticut Transportation Institute	\$361,031	3	1	\$120,344
Dept of Chemical, Materials & Biomolecular Engr	\$14,451,132	41	12	\$352,467
Dept of Civil & Environmental Engineering	\$7,134,381	38	10	\$187,747
Dept of Computer Science & Engineering	\$23,760,383	61	17	\$389,514
Dept of Electrical & Computer Engineering	\$19,513,861	58	17	\$336,446
Dept of Mechanical Engineering	\$26,922,046	57	14	\$472,317
School of Engineering Office of Dean	\$150,000	1	1	\$150,000
<b>School of Engineering</b>	<b>\$96,071,977</b>	<b>272</b>	<b>74</b>	<b>\$353,206</b>
<b>School of Family Studies</b>	<b>\$4,583,449</b>	<b>11</b>	<b>6</b>	<b>\$416,677</b>
<b>School of Fine Arts</b>	<b>\$95,260</b>	<b>4</b>	<b>3</b>	<b>\$23,815</b>
<b>School of Nursing</b>	<b>\$4,091,301</b>	<b>13</b>	<b>6</b>	<b>\$314,715</b>
<b>School of Pharmacy</b>	<b>\$17,941,064</b>	<b>53</b>	<b>16</b>	<b>\$338,511</b>
<b>School of Social Work</b>	<b>\$1,022,146</b>	<b>8</b>	<b>8</b>	<b>\$127,768</b>
Center for Regenerative Biology	\$400,000	3	3	\$133,333
Center for Environmental Sciences and Engineering (ERI)	\$601,393	1	1	\$601,393
Institute of Materials Science	\$1,686,904	14	4	\$120,493
National Undersea Research Center	\$790,900	4	2	\$197,725
Sea Grant College Program	\$436,134	8	3	\$54,517
<b>Vice Provost Research &amp; Grad Education</b>	<b>\$3,915,331</b>	<b>30</b>	<b>13</b>	<b>\$130,511</b>
<b>Grand</b>	<b>\$354,656,821</b>	<b>1108</b>	<b>453</b>	<b>\$320,087</b>



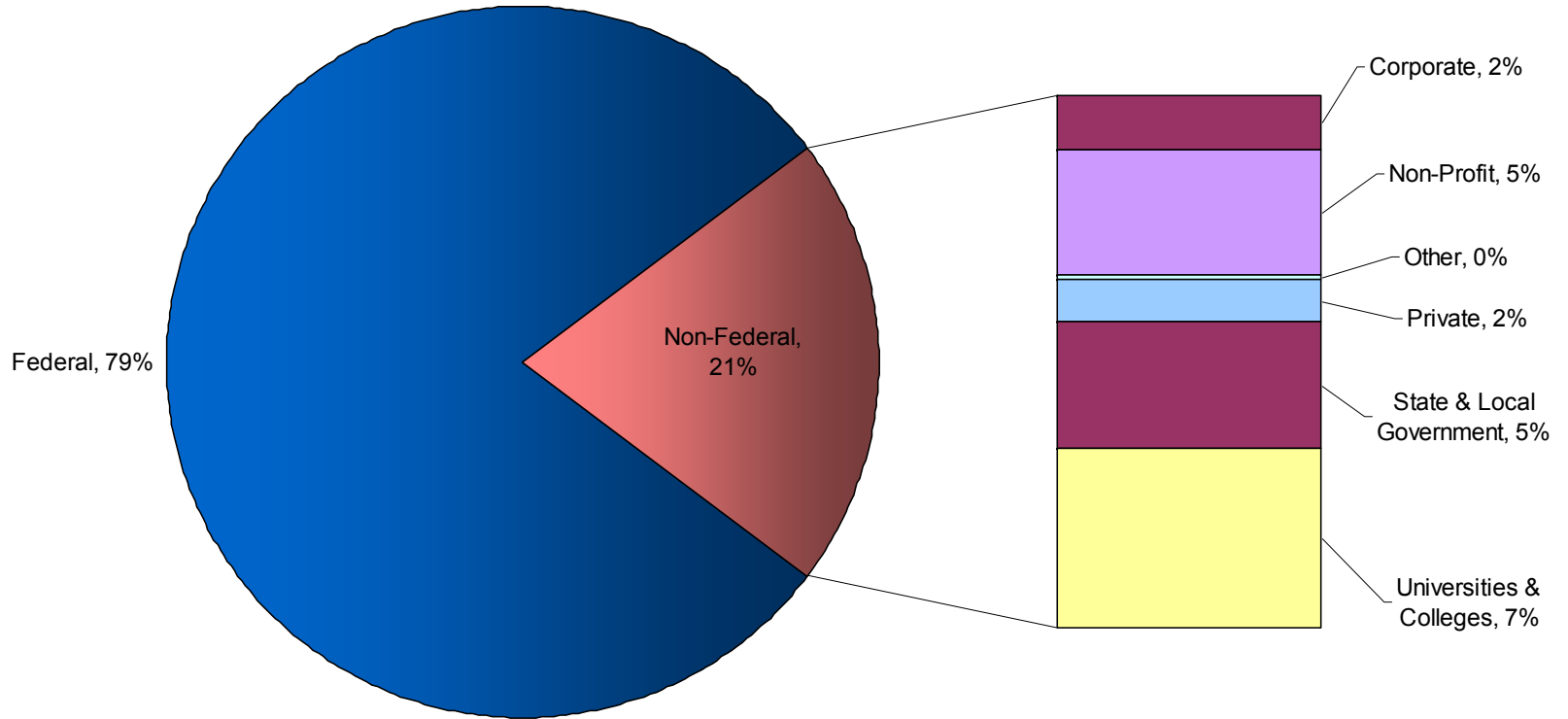
# Total Requested Budget by School FY2006



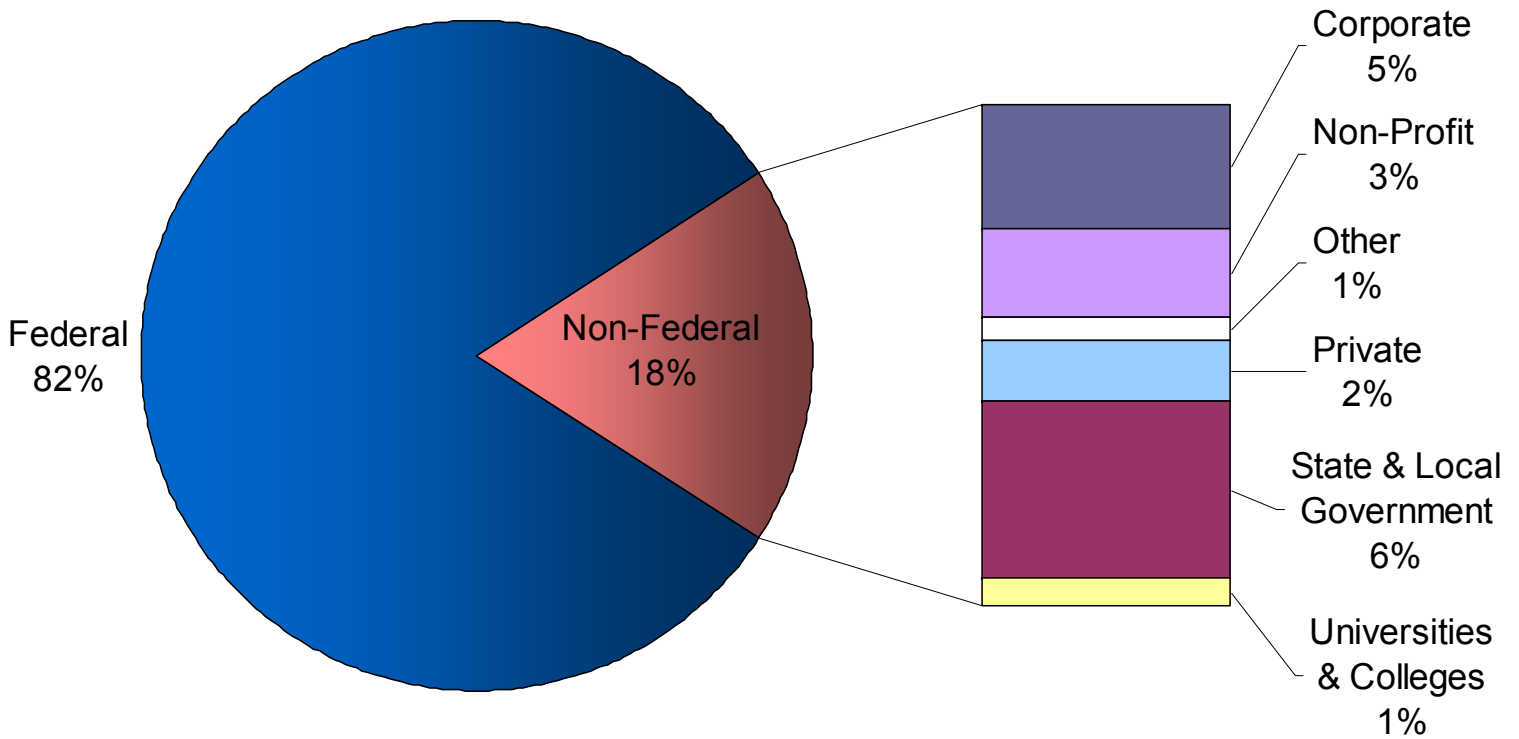
### Number of New Proposals by Sponsor Type, FY2006



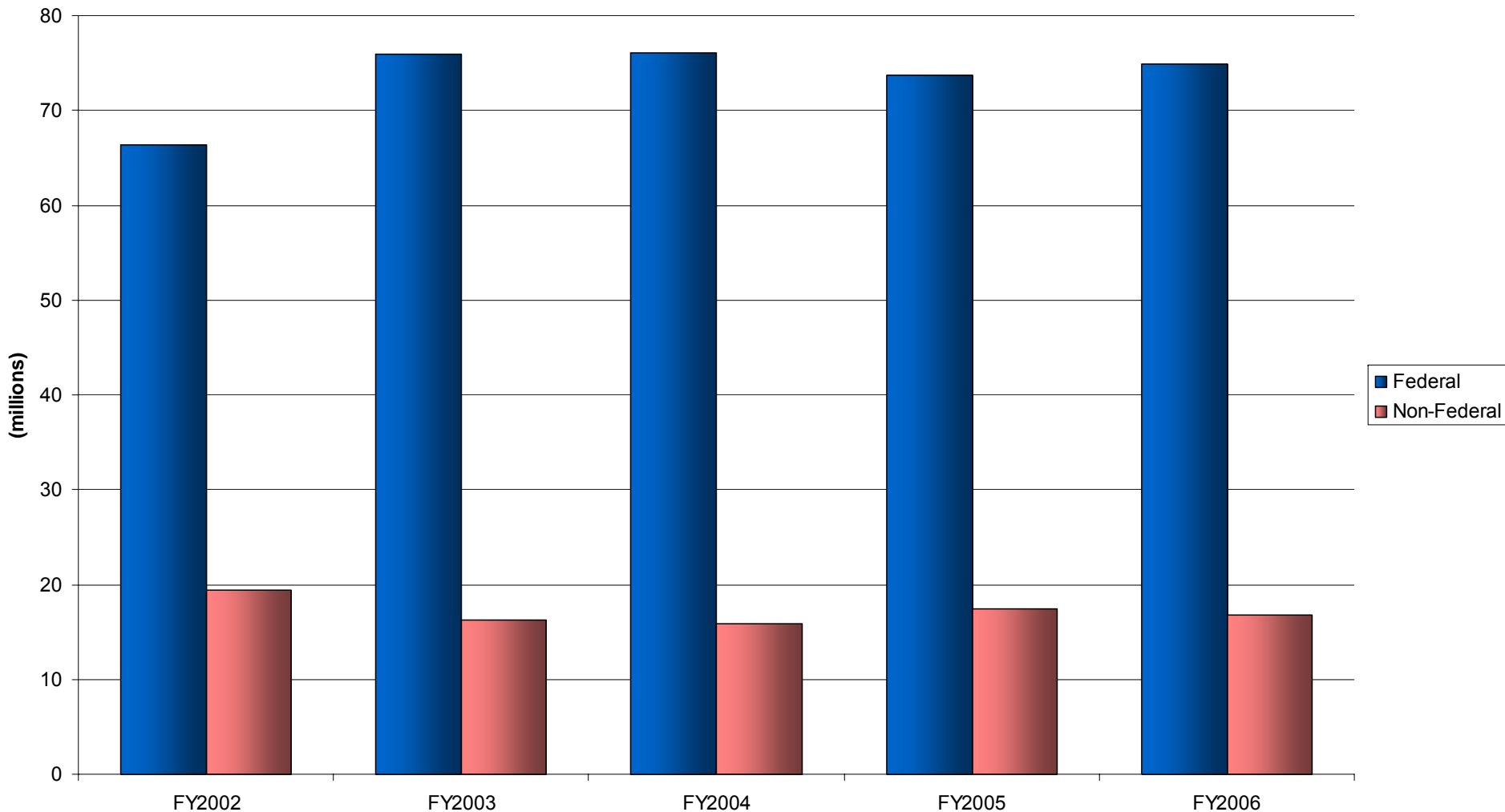
### Requested Amounts for New Proposals by Sponsor Type, FY2006 (\$\$)



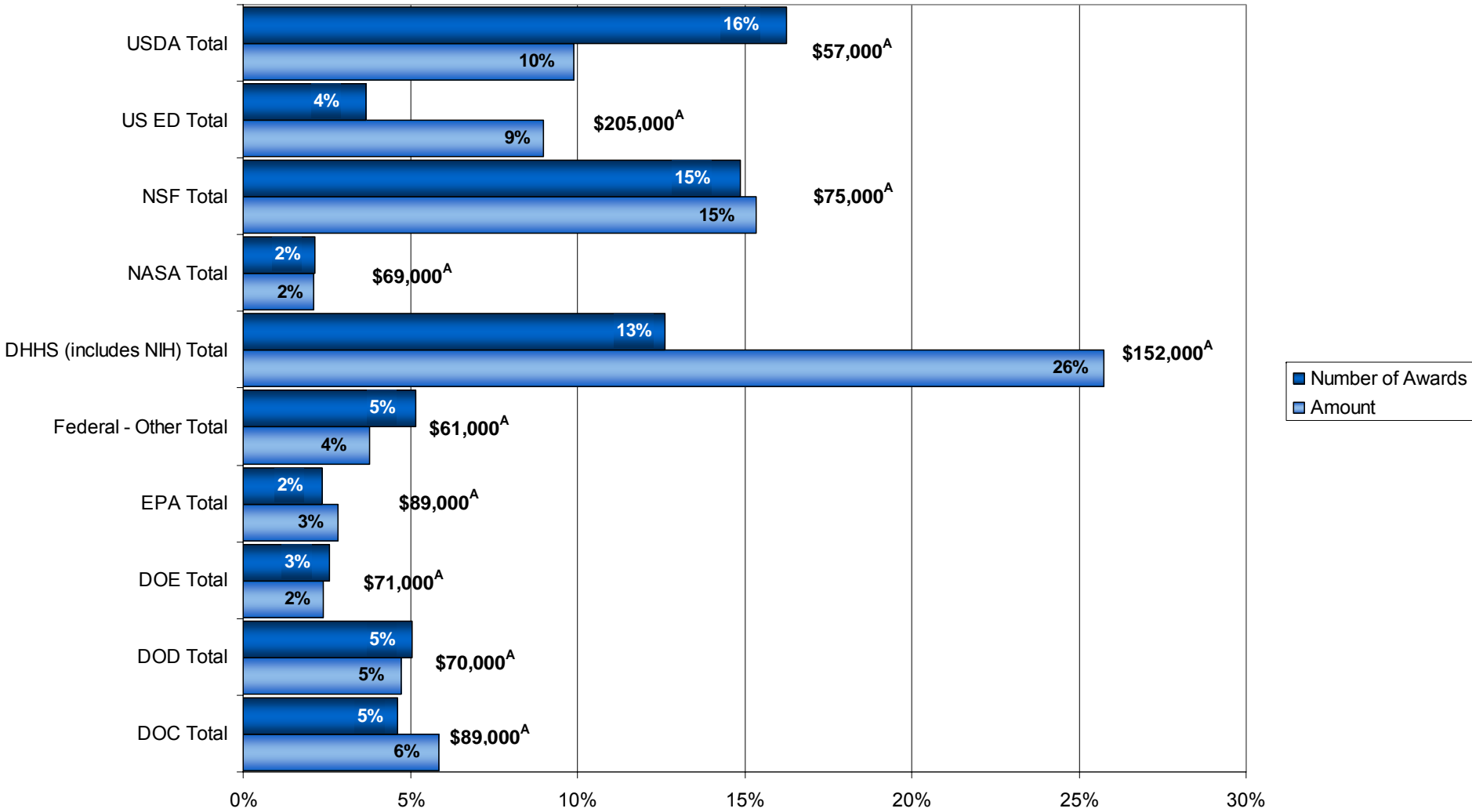
### Federal vs Non-Federal Awards, FY2006 (\$\$)



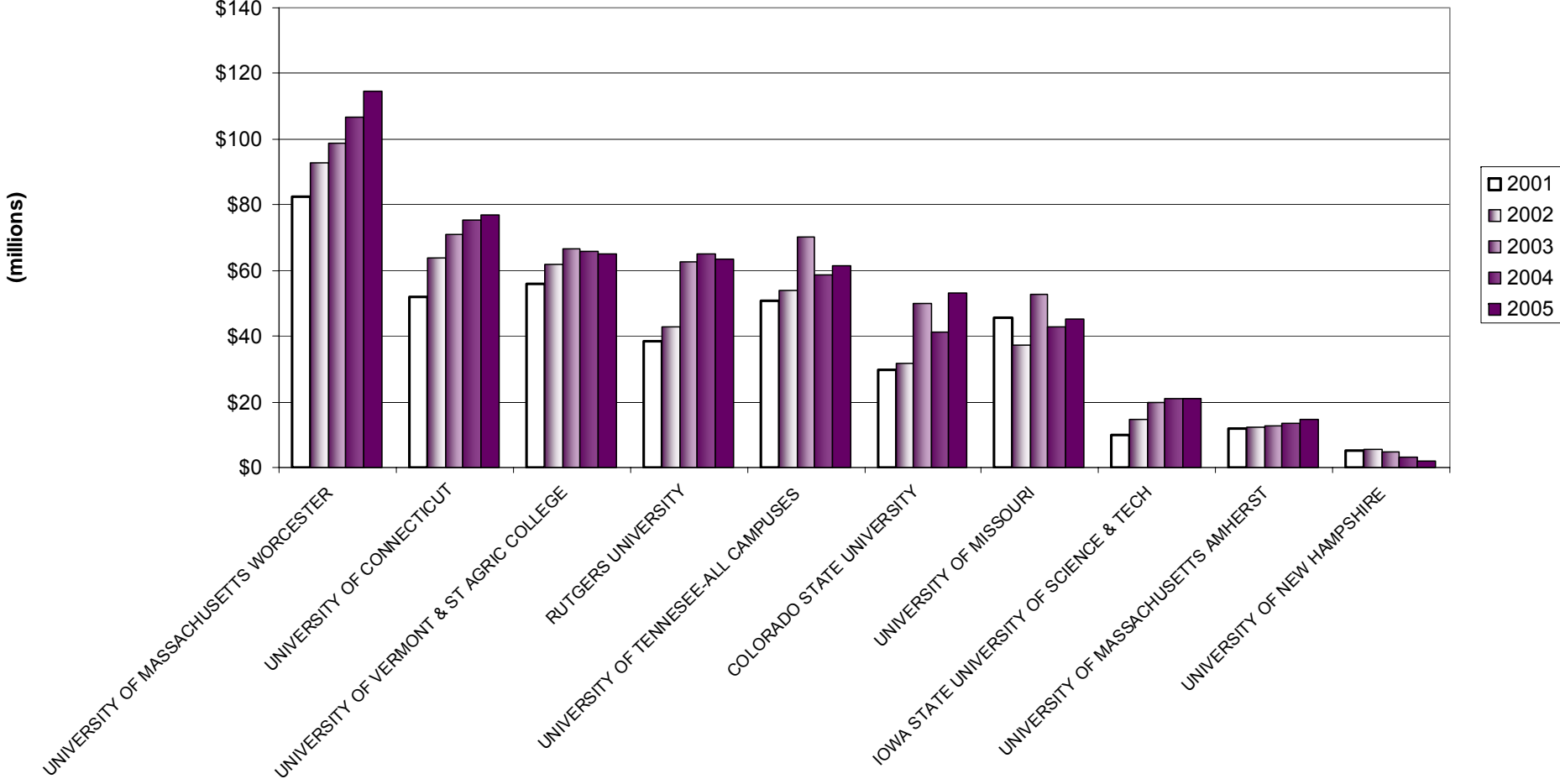
### Federal vs Non-Federal Awards FY2002-FY2006



### Federal Awards by Number and Amount, FY2006

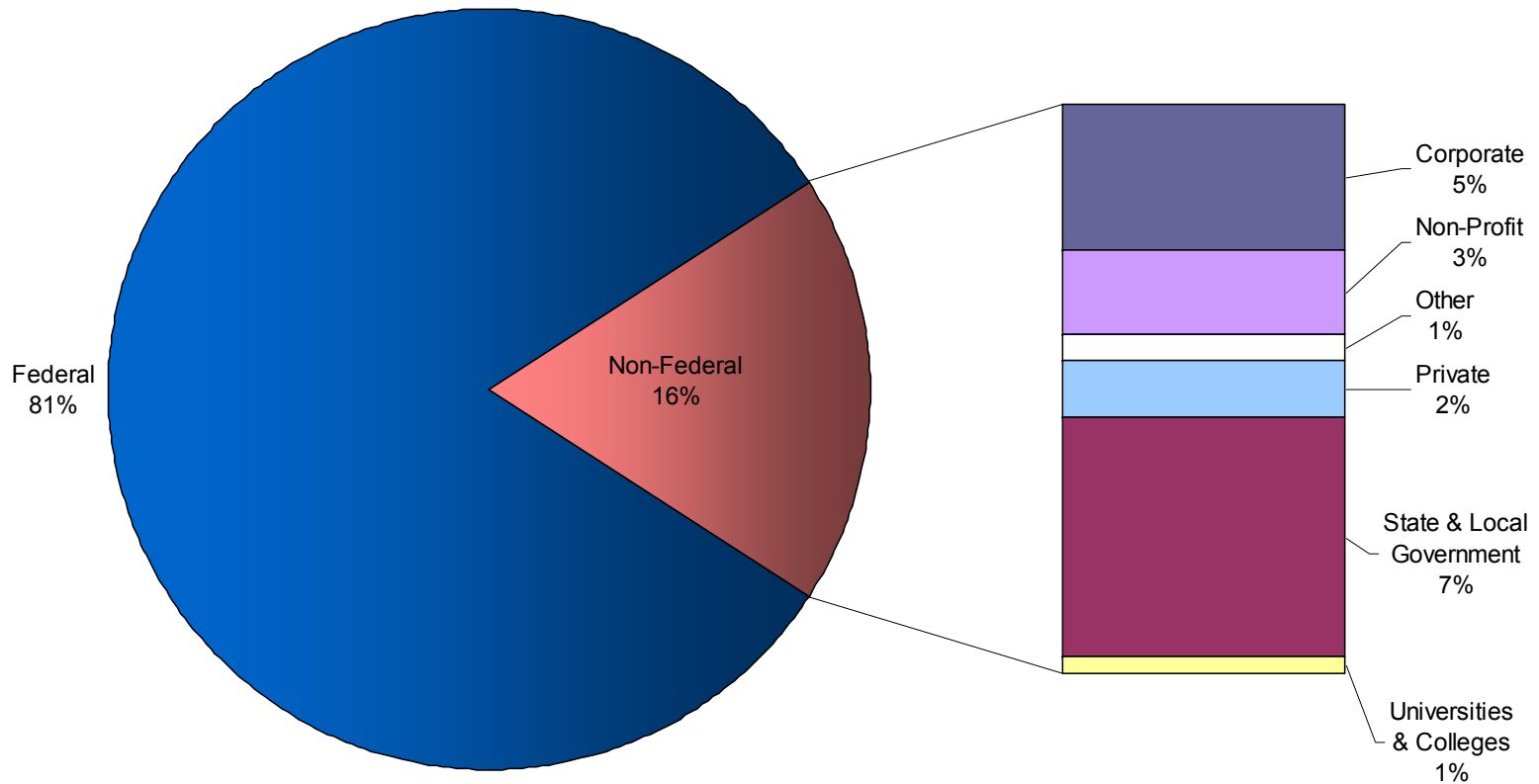


### NIH Award Amounts by Institution and Year, 2001-2005<sup>A</sup> (Includes Health Center)



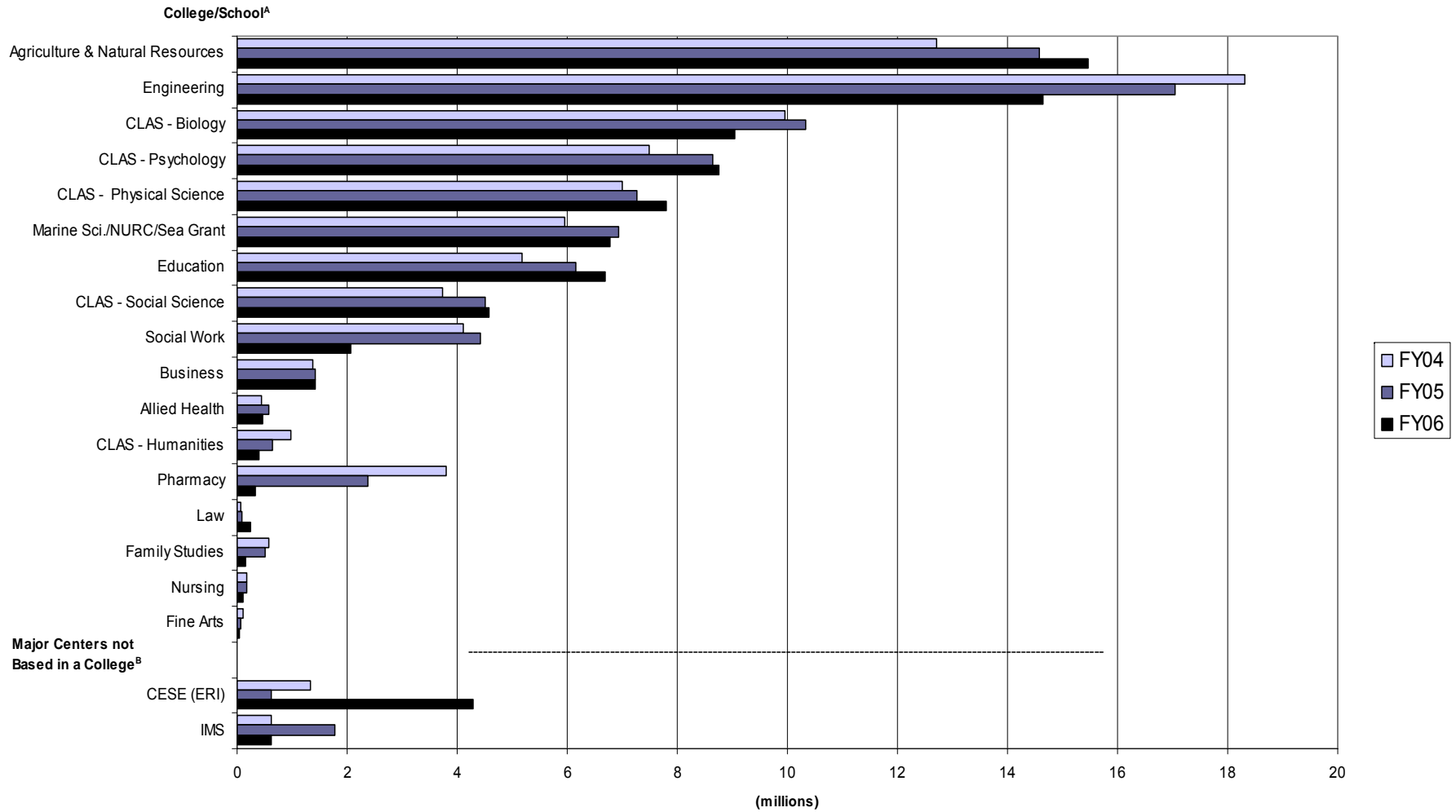
<sup>A</sup> Information taken from National Institutes of Health "Rankings for All Institutions"  
([http://grants.nih.gov/grants/award/trends/All\\_Institutions\\_Rank.htm](http://grants.nih.gov/grants/award/trends/All_Institutions_Rank.htm))

## Federal vs Non-Federal Expenditures, FY2006





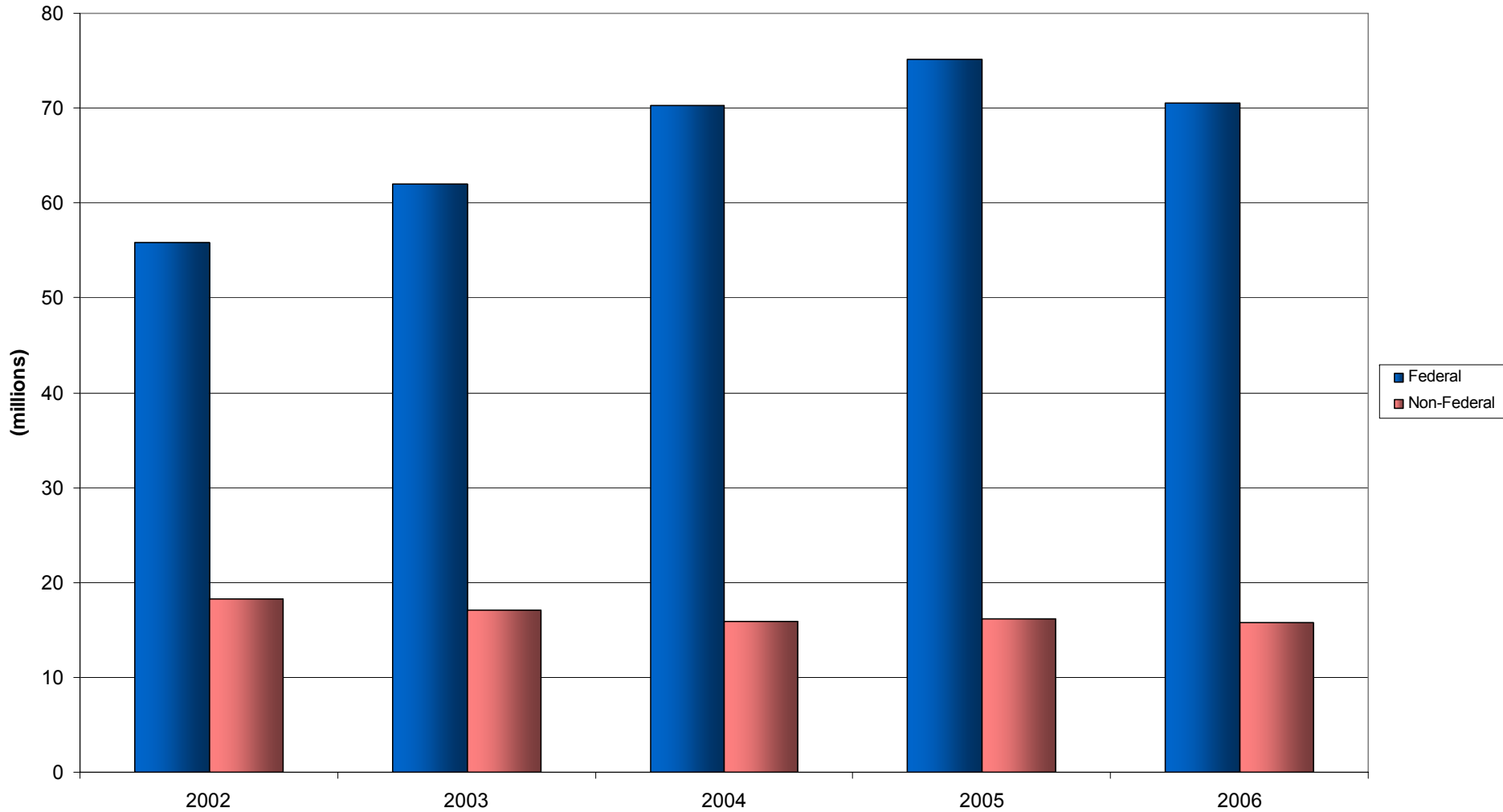
Expenditures by Unit, FY2004-Fy2006  
(millions)



<sup>A</sup> Data sorted by academic department of PI

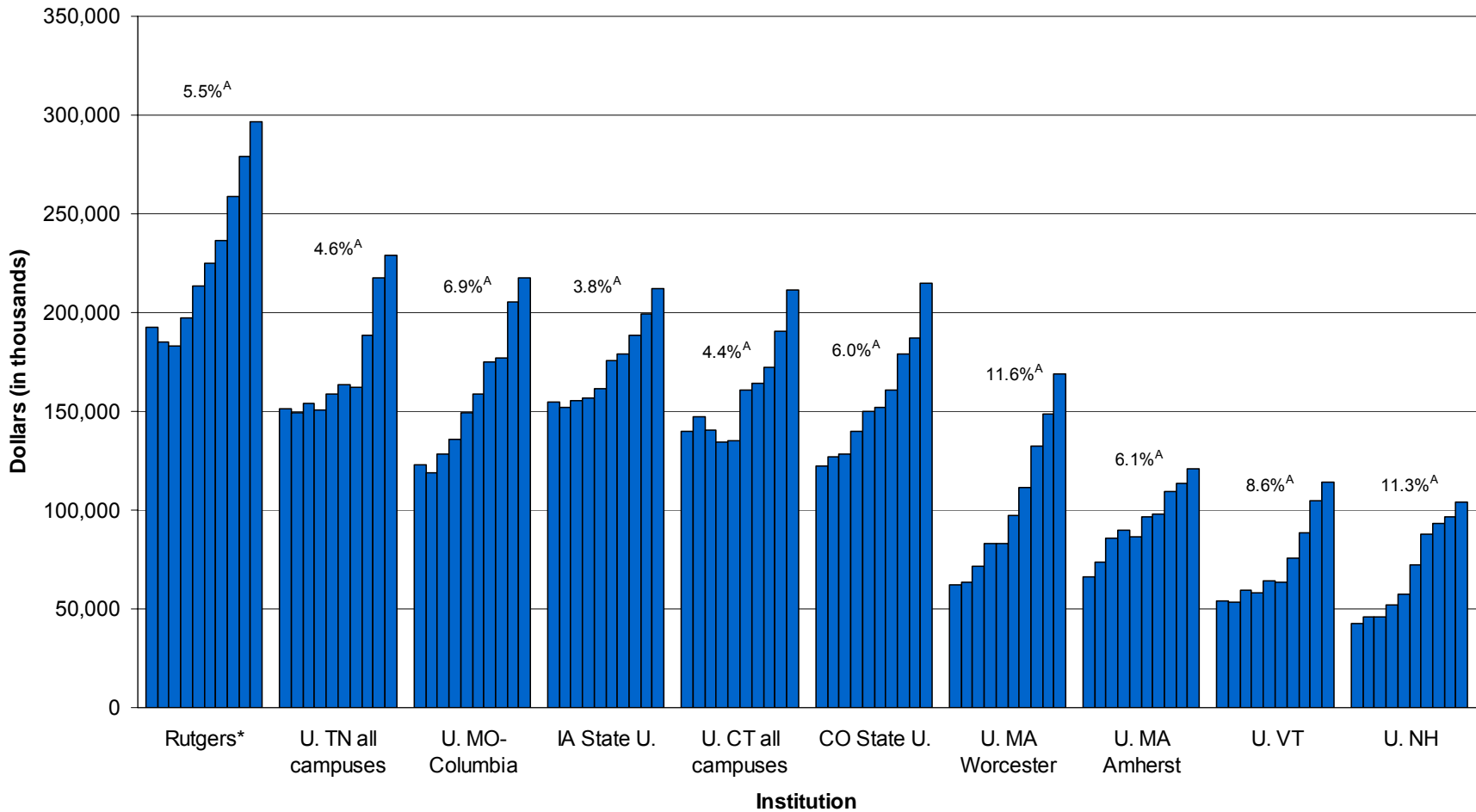
<sup>B</sup> PIs working in a center but not affiliated with an academic department.

# Federal vs Non-Federal Expenditures, FY2002-FY2006



Data assembled at Storrs by our data analysts  
Storrs+ only  
Federal funds separated from other sources  
**External RESEARCH grant and contract dollars expended**  
External grant / contract dollars expended for TRAINING GRANTS  
External grant / contract dollars expended for PUBLIC SERVICE (e.g., Agriculture funds)  
Data through 2006

# Total R&D Expenditures by institution and year; 1995-2004<sup>B</sup>



\*Rutgers, The State U. NJ all campuses

<sup>A</sup> Estimated rate of growth

<sup>B</sup> Information taken from The National Science Foundation, Division of Science Resource Statistics ([www.nsf.gov/statistics/](http://www.nsf.gov/statistics/))

Data assembled from the **NSF** figures

Research support from all agencies aggregated

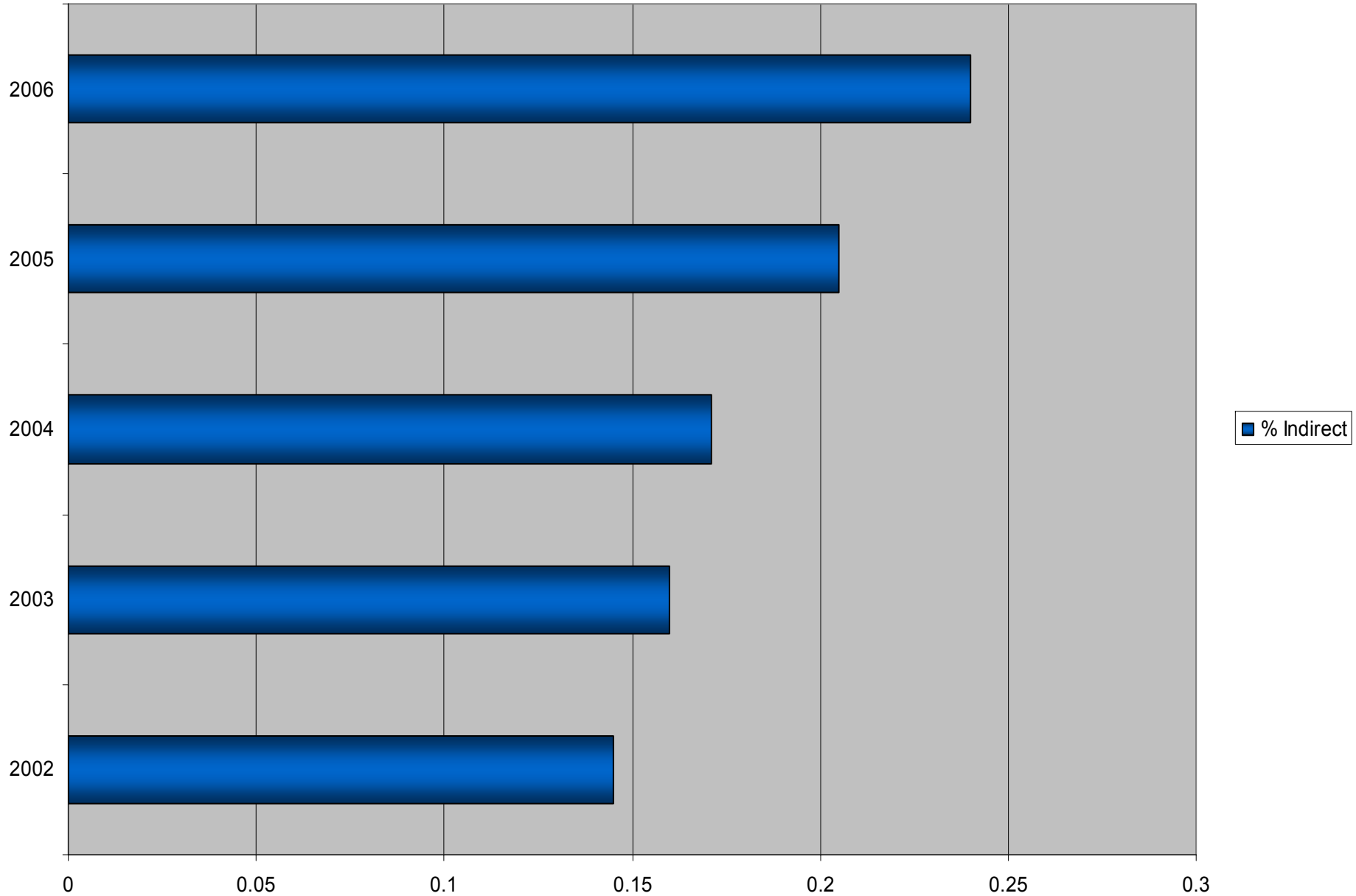
Storrs+ and Health Center

**External RESEARCH grant and contract dollars expended**

Also includes internal COST SHARING AND MATCH FUNDS (all funds invested \*directly\* in research)

Data through 2004

# % Indirects Recovered Over Past Five Years



## Expenditures by Department, FY2005-FY2006

	<b>FY2005</b>	<b>FY2005</b>	<b>FY2005</b>	<b>FY2006</b>	<b>FY2006</b>	<b>FY2006</b>	<b>FY2006</b>
	<b>Direct</b>	<b>Indirect</b>	<b>Total</b>	<b>Direct</b>	<b>Indirect</b>	<b>Total</b>	<b>% Indirect</b>
Agriculture & Natural Resource Dean Office	1,423	0	1,423				
Agriculture Publications Office	102,340	0	102,340	72,906	0	72,906	0.0%
Agricultural Experiment Station	155,352	0	155,352	180,463	0	180,463	0.0%
Cooperative Extension System	3,472,500	246,207	3,718,707	3,284,639	206,094	3,490,733	6.3%
Dept of Agricultural and Resource Economics	803,001	16,832	819,833	628,490	13,852	642,342	2.2%
Dept of Animal Science	1,539,745	130,452	1,670,197	1,787,092	214,530	2,001,622	12.0% <sup>A</sup>
Dept of Natural Resources Management & Engineering	2,074,236	360,207	2,434,444	2,134,248	328,144	2,462,392	15.4% <sup>A</sup>
Dept of Nutritional Sciences	2,437,144	340,254	2,777,398	2,596,846	463,936	3,060,782	17.9% <sup>A</sup>
Dept of Pathobiology	1,342,527	124,732	1,467,260	1,691,748	257,619	1,949,367	15.2% <sup>A</sup>
Dept of Plant Science	1,301,642	131,185	1,432,828	1,420,043	174,149	1,594,192	12.3% <sup>A</sup>
Technology Incubation				8,066	2,082	10,148	25.8%
<b>College of Agriculture &amp; Natural Resources</b>	<b>13,229,912</b>	<b>1,349,871</b>	<b>14,579,783</b>	<b>13,804,540</b>	<b>1,660,406</b>	<b>15,464,946</b>	<b>12.0%</b>

# Expenditures by Department, FY2005-FY2006 Continued

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	FY2005	FY2005	FY2005	FY2006	FY2006	FY2006	FY2006
	Direct	Indirect	Total	Direct	Indirect	Total	% Indirect
Center for Latin American and Caribbean Studies				3,000	0	3,000	0.0%
Center for Survey Research and Analysis	996,181	259,075	1,255,256	439,662	177,721	617,383	40.4% <sup>B</sup>
Dept of Anthropology	428,795	73,098	501,893	465,600	101,151	566,750	21.7%
Dept of Chemistry	3,519,700	820,918	4,340,619	3,570,301	1,298,886 <sup>C</sup>	4,869,187	36.4% <sup>B</sup>
Dept of Communication Sciences	226,314	78,671	304,985	229,132	70,459	299,591	30.8%
Dept of Ecology and Evolutionary Biology	1,759,063	471,608	2,230,672	1,508,304	401,780	1,910,084	26.6%
Dept of Economics	262,528	63,282	325,810	232,382	42,375	274,757	18.2%
Dept of English	130,465	11,180	141,645	104,665	0	104,665	0.0%
Dept of Geography	104,789	9,453	114,242	88,131	1,147	89,278	1.3%
Dept of Geology & Geophysics	2,086	1,001	3,087				
Dept of History	83,214	12,369	95,583	77,478	9,827	87,305	12.7%
Dept of Linguistics	44,795	18,124	62,919	17,414	3,463	20,876	19.9%
Dept of Marine Sciences	3,353,942	962,150	4,316,092	3,625,941	1,113,646 <sup>C</sup>	4,739,587	30.7%
Dept of Mathematics	260,163	110,282	370,445	254,474	86,282	340,756	33.9%
Dept of Modern and Classical Languages	324,752	9,223	333,975	38,661	6,826	45,487	17.7%
Dept of Molecular and Cell Biology	4,840,439	1,018,383	5,858,823	3,517,353	1,131,926 <sup>C</sup>	4,649,278	32.2% <sup>B</sup>
Dept of Philosophy				41,560	749	42,309	1.8%
Dept of Physics	1,753,353	531,606	2,284,959	1,678,226	616,062	2,294,288	36.7% <sup>B</sup>
Dept of Physiology and Neurobiology	1,645,072	607,293	2,252,365	1,792,424	696,872	2,489,296	38.9% <sup>B</sup>
Dept of Political Science	53,175	2,130	55,304	166,716	116	166,831	0.1%
Dept of Psychology	6,823,875	1,820,398	8,644,273	6,676,080	2,085,704 <sup>C</sup>	8,761,784	31.2%
Dept of Public Policy	1,004,516	214,418	1,218,934	1,612,962	475,917	2,088,878	29.5%
Dept of Sociology	624,844	100,187	725,031	464,851	102,166	567,018	22.0%
Dept of Statistics	181,411	75,923	257,334	190,197	97,849	288,047	51.4% <sup>B</sup>
Integrative Geoscience				2,054	986	3,040	48.0%
<b>College of Liberal Arts &amp; Sciences</b>	<b>28,423,473</b>	<b>7,270,773</b>	<b>35,694,246</b>	<b>26,797,569</b>	<b>8,521,908</b>	<b>35,319,477</b>	<b>31.8%</b>

# Expenditures by Department, FY2005-FY2006 Continued

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	FY2005	FY2005	FY2005	FY2006	FY2006	FY2006	FY2006
	Direct	Indirect	Total	Direct	Indirect	Total	% Indirect
Dept of Curriculum and Instruction	817,538	106,982	924,520	789,634	157,371	947,005	19.9% <sup>A</sup>
Dept of Educational Leadership	83,123	19,727	102,851	151,863	22,368	174,230	14.7%
Dept of Educational Psychology	4,087,774	649,264	4,737,038	3,666,821	696,405 <sup>C</sup>	4,363,225	19.0% <sup>A</sup>
Dept of Kinesiology	292,255	108,543	400,798	292,028	111,051	403,079	38.0%
Education Dean				641,134	169,923	811,057	26.5%
<b>Neag School of Education</b>	<b>5,280,691</b>	<b>884,516</b>	<b>6,165,207</b>	<b>5,541,480</b>	<b>1,157,118</b>	<b>6,698,597</b>	<b>20.9%</b>
Applied Health Science - Dietetics Program	234,533	43,294	277,827	218,536	48,164	266,700	22.0%
Dept of Allied Health Sciences	214,957	43,207	258,165	146,472	26,835	173,307	18.3%
Dept of Physical Therapy	21,404	10,274	31,677	11,587	5,562	17,149	48.0%
<b>School of Allied Health</b>	<b>470,894</b>	<b>96,775</b>	<b>567,669</b>	<b>376,595</b>	<b>80,561</b>	<b>457,156</b>	<b>21.4%</b>
Connecticut Small Business Development Center	844,132	167,865	1,011,996	868,906	170,700	1,039,606	19.6% <sup>A</sup>
Dept of Management	0	52	52	6,000	0	6,000	0.0%
Dept of Marketing	389,199	30,770	419,969	347,556	28,149	375,705	8.1%
<b>School of Business</b>	<b>1,233,331</b>	<b>198,686</b>	<b>1,432,017</b>	<b>1,222,462</b>	<b>198,849</b>	<b>1,421,311</b>	<b>16.3%</b>
Connecticut Global Fuel Cell Center	67,605	32,687	100,292	115,863	55,459	171,322	47.9%
Connecticut Transportation Institute	720,432	168,197	888,629	691,421	123,219	814,640	17.8% <sup>A</sup>
Dept of Chemical Engineering	2,111,212	447,145	2,558,357	1,064,006	295,571	1,359,577	27.8%
Dept of Civil & Environmental Engineering	2,216,571	522,108	2,738,679	1,608,933	399,350	2,008,283	24.8%
Dept of Computer Science & Engineering	2,261,668	613,527	2,875,195	1,640,313	495,938 <sup>C</sup>	2,136,251	30.2%
Dept of Electrical & Computer Engineering	2,972,254	979,937	3,952,191	2,958,965	913,321 <sup>C</sup>	3,872,286	30.9%
Dept of Material Science	937,325	78,964	1,016,289	1,152,226	220,697	1,372,923	19.2% <sup>A</sup>
Dept of Mechanical Engineering	3,344,435	584,108	3,928,543	1,801,409	442,649	2,244,059	24.6%
Engineering Dean				654,271	16,050	670,321	2.5%
<b>School of Engineering</b>	<b>14,631,502</b>	<b>3,426,673</b>	<b>18,058,175</b>	<b>11,687,407</b>	<b>2,962,255</b>	<b>14,649,662</b>	<b>25.3%</b>

# Expenditures by Department, FY2005-FY2006 Continued

06/07 - A - 201

	FY2005	FY2005	FY2005	FY2006	FY2006	FY2006	FY2006
	Direct	Indirect	Total	Direct	Indirect	Total	% Indirect
<b>School of Family Studies</b>	486,278	23,613	509,891	137,778	10,517	148,295	7.6%
<b>School of Fine Arts</b>	58,930	0	58,930	53,936	0	53,936	0.0%
<b>School of Law</b>	55,702	28,583	84,286	69,701	31,417	101,117	45.1%
<b>School of Nursing</b>	157,625	25,516	183,141	276,786	55,216	332,002	19.9%
<b>School of Pharmacy</b>	1,864,935	510,439	2,375,374	1,646,515	417,475	2,063,990	25.4%
<b>School of Social Work</b>	3,714,113	697,534	4,411,646	3,637,929	660,554	4,298,483	18.2%
Center for Environmental Sciences and Engineering (ERI)	498,330	120,017	618,347	496,032	136,170	632,202	27.5%
Institute of Material Science	707,807	59,790	767,598	189,149	55,450	244,598	29.3%
National Undersea Research Center	1,335,658	499,689	1,835,347	1,144,798	393,693	1,538,491	34.4% <sup>B</sup>
Roper Center and Institute for Social Inquiry	-35	-15	-50				0.0%
Sea Grant College Program	638,021	148,169	786,189	338,530	155,385	493,915	45.9% <sup>B</sup>
Vice Provost Research & Graduate Education	218,799	208	219,006	125,150	15,256	140,406	12.2%
<b>Vice Provost Research &amp; Graduate Education</b>	<b>3,398,579</b>	<b>827,858</b>	<b>4,226,438</b>	<b>2,293,658</b>	<b>755,954</b>	<b>3,049,612</b>	<b>33.0%</b>
<b>Grand Total</b>	<b>75,669,426</b>	<b>15,551,337</b>	<b>91,220,763</b>	<b>69,680,392</b>	<b>16,679,682</b>	<b>86,360,074</b>	<b>24.0%</b>

<sup>A</sup> Indirect rates < 24% (University average), for indirect costs ≥ \$100K

<sup>B</sup> Indirect rates ≥ 30%, for indirect costs ≥ \$100K

<sup>C</sup> Indirect costs ≥ \$500K





## ATTACHMENT # 39

ANNUAL REPORT OF THE UNIVERSITY BUDGET COMMITTEE  
PRESENTED TO THE UNIVERSITY SENATE, MARCH 26, 2007

The University Budget Committee dealt with a variety of issues concerning the University's operating and capital budgets. Members of the committee received and discussed reports from State Representative Roberta Willis, University staff and administrators on the following topics:

- The state budget cycle and the support of the General Assembly for a current services budget allocation. For FY 07, the University was funded very close to current services plus an additional \$4 million for two new initiatives.
- The committee received a report from GEOC Chair Dr. Freake on budget implications.
- The committee supports, in concept, the UCARE proposal to strengthen donor supported funding for research.
- In FY06, the University paid approximately \$7.8 million to the Foundation and the Foundation raised \$51.5 million in cash donations.
- Rep. Willis stated she is extremely supportive of the University. Across the board, all colleges have seen increases in enrollment, but commensurate increases in number of faculty have not occurred.

During this academic year, the Committee did not bring forward any proposals for the University Senate to receive and adopt. Deliberation focused on the impact of areas of concern regarding University operational costs as well as budget implications. Concerns are noted after each meeting summary.

## THE UNIVERSITY BUDGET PROCESS

Each month, Bruce DeTora, University Chief Financial Officer, led the discussion regarding the current status of the budget from submission, through the legislative process, and allocation. Bruce stated that in November the University put in a State request for an above current services budget. The plan for 175 faculty included related staff positions and start up packages. This request, however, is above current services. A current services budget includes any collective bargaining agreements and new building openings. The eminent scholar monies will be distributed by OPM once a spending plan and match requirement is submitted.

Tuition and fees caps, nationally were 6.3% (FY07 over FY06) while UConn was at 5.69%. There are political considerations to tuition increases. The Department of Higher Education (DHE) looks closely at tuition increases and provides feedback. FY 07 was the first year in recent history that the University has been funded close to current services. The *Financial Report to the Board of Trustees for the six months ended December 31, 2006* provides a snap shot of the current budget situation from five perspectives-actual, budget, forecast, variance, percent change. For FY 07, tuition is projected to come in very close to budget. Rising interest rates will increase investment income.

The two significant budget variables are energy and financial aid. A contract to buy gas to operate the cogeneration plant was locked-in at less than what was budgeted. Financial aid payments are higher than anticipated and will result in a \$4.5 million shortfall (approximately \$1.8 million will be funded from Federal/State sources). The Governor's Recommended Biennial Budget (FY 08-09) was \$4 million less than requested. This is directly related to the two new programs for Entrepreneurship and Eminent Faculty.

#### HEDLEY FREAKE, CHAIR, GEOC

Dr. Freake stated that Veronica Makowsky deals with the budget issues for General Education courses. There is a small budget for the administration of the committee but not for programming. For the competition for course development, GEOC will administer that budget themselves for FY 07. Veronica has a funding pool to follow student demand. One concern is the perceived narrowing of course offerings and at the same time increasing teaching by adjuncts. How do we ensure quality control of teaching in Gen Ed courses? The central issue is insufficient number of faculty. GEOC has the responsibility to ensure adequacy of the budgets for W and Q centers. The budget for 2007 for W and Q centers is as requested.

#### UCARE (UConn Academic and Research Excellence) PROPOSAL

Members of the AAUP Executive Committee met with the University Budget Committee to discuss the UCARE proposal. AAUP seeks support to fill a gap in research funding. The preliminary UCARE proposal was that new faculty members would be hired on state dollars and in 2 or 3 years would generate sufficient grant dollars to support their position. The UCARE proposal included examples of how research funds are needed to maintain flexibility. The committee members expressed support for the matching idea and for the establishment of endowment funds.

#### JOHN MARTIN, PRESIDENT, UNIVERSITY OF CONNECTICUT FOUNDATION

The Foundation is a vehicle to keep private dollars separate from state funds. Every state has fiscal challenges that necessitate cuts to unrestricted expenditures such as higher education. Very little comes to the Foundation that is not ear-marked for some restrictive purposes usually connected with a program of interest to the donor. A Memorandum of Understanding (MOU) is a written contract on what the Foundation can and can not do to receive a gift. In FY06, the University paid approximately \$7.8 million to the Foundation and the Foundation raised \$51.5 million in cash donations.

The fee charged to manage an endowment account is 1.5%. The goal for the annual fund is \$4 million this year. Donors, who make a \$1000 gift each year to the Annual Fund for 4 or 5 years, then become prospects for the next campaign. UConn is still dealing with "young" donors that need to be brought along. Faculty and staff have been generous. Athletics is a major factor in public higher education. Approximately 25-30% of what the Foundation raises each year is in support of athletics. The percent will probably go down as other fundraising increases for academic support.

STATE REPRESENTATIVE ROBERTA B. WILLIS, 64<sup>TH</sup> DISTRICT, CO-CHAIR OF  
THE HIGHER EDUCATION AND EMPLOYMENT ADVANCEMENT  
SUBCOMMITTEE OF THE CONNECTICUT GENERAL ASSEMBLY

This General Assembly committee examines topics such as workforce competitiveness, career ladders, and future student trends. The committee also interacts with DHE with regards to legislation. The committee has reviewed UConn issues such as: financial aid, a bill on textbook pricing, UCONN 2000 oversight legislation, additional faculty funding, current services funding, and many others. Rep. Willis stated she is extremely supportive of the University. Across the board, all colleges have seen increases in enrollment, but commensurate increases in number of faculty have not occurred. Legislators are concerned, especially regarding graduation in 4 years and the costs of financial aid.

#### CONCLUSIONS

The complexity of the University budget continues to be examined as well as the growth in the institution on the non-academic side of the house. In light of competing state budget demands, any increase in operating funds to support additional faculty members will require a very strong, coherent message from University administrators and the Board of Trustees to both the Governor and the legislature. Issues related to GEOC, the UCARE proposal, fund raising, and the legislative functions were addressed. The committee is grateful to Bruce DeTora for his insights and perspectives on the University Budget.

Respectfully submitted,

Nancy Bull (CHAIR), Loftus Becker, Tracie Borden, James Boster, Bruce DeTora (ex-officio), Neil Facchinetti, Ian Hart, Kent Holsinger, R.J. Holzworth, John P. Kennedy, James Marsden, Glen O'Keefe, Philip Mannheim, Sherri Olson, Nalini Ravishanker, Winthrop Smith, and Judith Thorpe

## ATTACHMENT # 40

**UNIVERSITY SENATE CURRICULA AND COURSES COMMITTEE**  
**Report to the Senate, March 26, 2007**

**I. Changing 200s level courses**

**The Committee recommends approval to change the following courses:**

**A. PNB 264, PNB 265 Human Physiology & Anatomy Prerequisite change**

Current Catalog copy: Both semesters. Four credits each semester. Three class periods and one 3-hour laboratory. Prerequisite: CHEM 122 or 124 or 127Q. Recommended preparation: BIOL 107, PHYS 101 or 122. Open to sophomores or higher. Not open to students who have passed PNB 274-275. These courses must be taken in sequence to obtain credit, and may not be counted toward the Biological Sciences or Physiology and Neurobiology majors.

Revised Catalog copy: Both semesters. Four credits each semester. Three class periods and one 3-hour laboratory. Prerequisite: BIOL 107, and one of CHEM 122 or 124 or 127Q. Open to sophomores or higher. Not open to students who have passed PNB 274-275. These courses must be taken in sequence to obtain credit, and may not be counted toward the Biological Sciences or Physiology and Neurobiology majors.

**B. PNB 274, PNB 275 Enhanced Human Physiology and Anatomy Prerequisite change**

Current Catalog copy: Both semesters. Four credits each semester. Three class periods and one 3-hour laboratory. Prerequisite: BIOL 107, CHEM 124 or 127Q. Recommended preparation: PHYS 121, 131, or 141. Not open to students who have passed PNB 264-265. These courses must be taken in sequence to obtain credit. Open to sophomores or higher.

Revised Catalog copy: Both semesters. Four credits each semester. Three class periods and one 3-hour laboratory. Prerequisite: BIOL 107, and either CHEM 124 or 127Q. Not open to students who have passed PNB 264-265. These courses must be taken in sequence to obtain credit. Open to sophomores or higher.

**II. New General Education courses forwarded from GEOC: The Committee recommends approval of the following courses and topics****A. C&C recommends approval of the following courses for inclusion in Content Area**

ARAB 121	Traditional Arabic Culture
ARAB 122	Modern Arabic Culture
CHIN 121	Traditional Chinese Culture
CHIN 122	Modern Chinese Culture
ILCS 1XY	Introducing Italy through Its Regions
MUSI 193	Introduction to Music History I
MUSI 194	Introduction to Music History II

**B. GEOC recommends approval of the following courses for inclusion in CA4:**International Category

ARAB 121	Traditional Arabic Culture
ARAB 122	Modern Arabic Culture
CHIN 121	Traditional Chinese Culture
CHIN 122	Modern Chinese Culture
GERM 175	Human Rights and German Culture

HIST 107 East Asian History through Essential Hanzi  
**C. Reported to Senate as a W course**

HIST 230/W American Environmental History  
Course may be offered as either a W version or a non-W version

Respectfully submitted,

Laurie Best  
Janice Clark  
Anne D'Allewa  
Michael Darre  
Andrew DePalma  
Jane Goldman  
Kathleen Labadorf

Steven Mlenak  
Maria O'Donoghue  
Eric Schultz  
Jaci VanHeest  
Katharina von Hammerstein  
Robert G. Jeffers (Chair)

## ATTACHMENT # 41

**Annual Report**  
**President's Athletic Advisory Committee (PAAC)**  
**University Senate Presentation**  
**Spring, 2007**

During the 2006-07 the University has been engaged in the self-study phase of the NCAA recertification process which will culminate in a campus visit of a review team in October of 2007. The major work to review the Division of Athletics' programs has been finished with a draft report available at the NCAA certification website (<http://web.uconn.edu/ncaa/>). Virtually all members of PAAC served on one of the three recertification subcommittees that addressed the areas of Academic Integrity, Governance and Commitment to Rule Compliance, and Equity and Student-Athlete Well-Being. The work of these review teams overlapped significantly with the work of the various PAAC subcommittees and therefore much of the work of the subcommittees is subsumed in the final report.

Academic Subcommittee
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This was the first year in which UConn was required to implement an NCAA requirement to review the unit that provides academic counseling to student-athletes (The UConn Counseling Program for Intercollegiate Athletes or CPIA). The requirement is that the program must be reviewed every three years. This review is then incorporated into the recertification documentation (which occurs every 10 years). It was determined that PAAC should do this review and therefore an additional activity this year was the review of CPIA by the Academic Subcommittee of PAAC. That review will be available on the PAAC website at <http://paac.uconn.edu>. The report was very positive about the operations of CPIA and its counselors and that it was meeting the needs of student-athletes. One issue that came up in the report that will need further discussion and exploration is the need for additional support in diagnosing learning disabilities amongst student-athletes. As the review team discovered in talking with Steve Jarvi this is really a campus-wide issue, in that there is a significant wait time to get students an appointment with staff in UPLD and then to complete testing and diagnosis. CPIA currently pays for additional assessment services using an outside consultant to facilitate this process. While the number of student-athletes that are identified as needing testing is not large (approximately ten a year) the timing of diagnosis and the creation of the plan to assist the student-athlete in being successful is critical as failure to perform academically can lead pretty quickly to ineligibility.

In addition the Academic Subcommittee raised some concern for a proposed change to the University By-Laws that would explicitly permit faculty to incorporate class attendance as part of their course grading. Given the travel schedules of some student-athletes that is not under their control, the concern was that student-athletes be treated fairly as they are representing the university in official events and that such a policy not be used by faculty to punish students (any other student who had to miss class for a required University sponsored event). PAAC

considered the issue and transmitted its concerns to the Chair of the University Senate Scholastic Standards Committee for their consideration.

#### Faculty/Staff Relations Subcommittee

Outside of the work on the NCAA recertification process the faculty and staff relations subcommittee of PAAC conducted numerous faculty/staff breakfast meetings to facilitate communications with faculty, staff and representatives from the Division of Athletics and PAAC. The breakfasts were very successful and numerous issues were raised and addressed during the year. In some cases issues related to specific athletes were resolved immediately following the breakfast with the faculty or staff who was involved.

In addition the committee also launched a website for PAAC at <http://paac.uconn.edu> to further provide an avenue for communication about athletic issues with the greater community. Included in the website is a section on Frequently Asked Questions (FAQs), some of which surfaced in the faculty/staff breakfasts. New questions can be submitted to PAAC directly from the website. The website also provides access to all of the reports and minutes from PAAC meetings and the current list of members as well as links to various other athletics related sites.

#### Budget and Facilities Subcommittee

The budget and facilities subcommittee annually reviews the current facilities projects and plans for new facilities as well as the current year budget. As of the writing of this report, the work of this subcommittee is in progress and will be reported on at the April 5<sup>th</sup> meeting of PAAC. Its findings will be incorporated into a final version of this annual report which will be posted on the PAAC website subsequent to the April 5<sup>th</sup> meeting.

#### PAAC Meeting Agenda Items

Some of the major topics discussed during the regular PAAC meetings during the year included:

- 1) A review of the Title IX report and a discussion with the outside consultant that prepares the report, Lamar Daniels. This discussion also led to a discussion of facilities and PAAC noted that several significant athletic facilities will be in need of replacement in the intermediate term and that care must be taken to make sure that we do not violate Title IX issues as these facilities are upgraded. Lamar did comment on the University's practice of issuing remaining financial aid in the women's sports as rewards to graduating senior student-athletes that were receiving partial athletic aid or none at all as a method of achieving maximum scholarship levels in those sports. Lamar mentioned that this effort, which he first saw at UConn, has been subsequently recommended to the other universities he works with on an annual basis.
- 2) A review of the process by which the Division of Athletics and Student Affairs deals with student-athlete misconduct issues and how proactive the coaches and administration are in doing their due-diligence in the recruiting process was discussed. One point of the discussion is that student-athletes face exactly the same process as other students when misconduct issues arise. In addition they often face additional penalties based on team



- rules or NCAA rules that affect their participation in their sport. Another point was made that the incidence of student misconduct in the student-athlete population is very similar to that in the general student population.
- 3) A review of the graduation and academic progress statistics required by the NCAA including the Graduation Success Rates (GSR) and Federal Graduation Rates and the Academic Progress Rate (APR). Both the Federal rate and the GSR capture the percentage of student-athletes who graduated within six years of entering the University. While the percentages vary significantly by team, the overall graduation rates are fairly close to the overall University rates (Fed rates) of graduation for all students. APR is a different metric, as it captures the annual academic progress of current student-athletes. It also is reported as a percentage and it is computed by allowing each student athlete to earn up to four points a year (based on eligibility and retention each semester). In each semester a student-athlete earns one point if they are eligible and one point if they return to the university. The eligibility is reflective of making academic progress and the point for returning captures the retention of the student-athlete. One important point to note regarding these metrics is that they apply only to financially aided student-athletes. Currently at UConn only 300 out of a total of 650 student-athletes receive athletically funded aid. A series of frequently asked questions on the new website discuss in more detail these metric and some of the challenges in interpreting them.

- A presentation was also made by Rob Hoskin, the Chair of PAAC; Bill Shults, the Associate Director of Athletics for Compliance; Frank Wunschel of the Office of Institutional Research and Bruce Cohen, the Director of CPIA, to the Enrollment Committee of the University Senate during the year to explain these metrics in more detail and to answer any questions.
- 4) PAAC also toured the new Burton Family Football Complex and Mark R. Shenkman Training Center. The facility incorporates significant academic counseling, labs and tutoring spaces and houses the CPIA counselors who provide academic support to the football team. This has reduced (although not eliminated) some of the stress on the space that CPIA has occupied in Hall Dorm. It is interesting to note that this is the only LEED certified environmentally friendly building on campus (based on the construction materials and procedures), the first on many.
  - 5) The need for a student recreational facility was discussed at several meetings and the PAAC agenda for next year will include a complete review of the usage of the current recreational facility. Jeff Hathaway has kept the committee informed about the current status of the proposed project throughout the year.

**ATTACHMENT # 42**  
**University Senate Nominating Committee**  
**2007-2008 Standing Committee Membership**  
**Nominating Slate ~ March 26, 2007**

<b>Curricula &amp; Courses</b>	<b>Enrollment</b>	<b>Faculty Standards</b>
<b>*Michael Darre, Chair</b>	<b>*Robert Tilton, Chair</b>	
Best, Laurie	Allchin, Lynn	Armstrong, Lawrence
Clark, Janice	*Caira, Janine	*Boyer, Mark
*D'Alleva, Anne	Cooke, Thomas	*Bramble, Pamela
DePalma, Andrew	*Croteau, Maureen	*Frank, Harry
Jeffers, Robert	*Eby, Clare	Gilbert, Harvey
*Kazerounian, Kazem	Gorbants, Eva	*Hiskes, Anne
Labadorf, Kathleen	Kaufman, Douglas	*Holgerson, Kathleen
Lyon, Susan	Lin, Senjie	*Letendre, Joan
O'Donoghue, Maria Ana	*Ravishanker, Nalini	*Lowe, Charles
*Schultz, Eric	*Rummel, Jeffrey	Polifroni, Carol
*Shoemaker, Nancy	*Strausbaugh, Linda	*Reis, Sally
Stephens, Robert	Ulloa-Beal, Susana	*Schaefer, Carl
		*Silander, John
		*Thorpe, Judith
		*Tuchman, Gaye
		*Van Heest, Jaci
		*Wilson, Richard
<b>Scholastic Standards</b>	<b>Student Welfare</b>	<b>University Budget</b>
<b>*Andrew Moiseff, Chair</b>	<b>*Preston Britner, Chair</b>	<b>*Nancy Bull, Chair</b>
Bennett, John	Bresciano, Karen	Borden, Tracie
*DeFranco, Francine	*Chambers, Kim	*Facchinetti, Neil
*Dunne, Gerald	*Cobb, Casey	Fontanella, Jennifer
Gianutsos, Gerald	*Deibler, Cora	Gilson, Lucy
Gramling, Lawrence	*Eisenhandler, Susan	*Hart, Ian
Higgins, Katrina	*Jordan, Eric	*Holsinger, Kent
*Lillo-Martin, Diane	*Kehrhahn, Marijke	*Holzworth, Jim
Rong, Yuhang	*Kelly, Kristen	*Lipsky, Sue
*von Munkwitz-Smith, Jeffrey	*Korbel, Donna	*Mannheim, Philip
*Wagner, David	*Kurland, Michael	*Marsden, James
Weiner, Robert	*McCarthy, Robert	*Olson, Sherri
	*Ratcliff, Kathryn	*Smith, Winthrop
	*Sanner, Kathleen	Stolzenberg, Daniel
	*Simmons, Robin	
		<b>Growth &amp; Development</b>
		<b>*Karla Fox, Chair</b>
		*Beck, Cheryl
		*Clausen, John
		*Engel, Gerald
		Faustman, Cameron
		Guillard, Karl
		*O'Neill, Rachel
		*Silbart, Lawrence
		Stwalley, William
		*Weiss, Robert
		Zirakzadeh, Cyrus

\* Senate Member 2007/2008